

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20521  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,685	02/12/2001	Philip Waisin Ching	CHINGPH.002A

CONFIRMATION NO. 6131

20995

KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000005855289\*

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/23/2001 AGOITOM 00000064 09781685

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	45.00 OP
04 FC:202	160.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$205.
  - \$45 for 5 total claims over 20.
  - \$160 for 4 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 625.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.24 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



*A copy of this notice MUST be returned with the reply.*

*Alan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector #5  
PATENT #5

Case Docket No. CHINGPH.002A  
Date: May 11, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Philip Waisin Ching  
App. No. : 09/781,685  
Filed : February 12, 2001  
For : HIERARCHICAL  
DOCUMENT CROSS-  
REFERENCE SYSTEM  
AND METHOD

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

May 11, 2001  
(Date)

Mark J. Kertz, Reg. No. 43,711

Group Art Unit : 2176

**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

**ATTENTION: BOX MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on March 13, 2001, enclosed are:

- (X) An executed Declaration by Inventor.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) Nine (9) sheets of drawings.
- (X) Small entity status is established under 37 CFR 1.9 and 1.27.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

Case Docket No. CHINGPH.002A

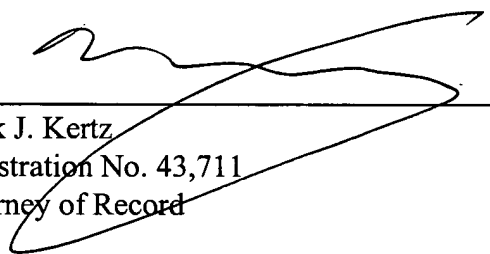
Date: May 11, 2001

---

FILING FEE		\$ 560
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$0
SURCHARGE 37 CFR 1.16(e)		\$ + 65
TOTAL OF ABOVE CALCULATIONS		\$
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ -		
TOTAL FEES SUBMITTED HEREWITH		\$ 625

---

- (X) A check in the amount of \$625 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410. ~~XX~~  
~~A duplicate copy of this check is enclosed~~

  
\_\_\_\_\_  
Mark J. Kertz  
Registration No. 43,711  
Attorney of Record